

MATERIALS CERTIFICATION EXCEPTIONS SUMMARY

S.P. No.	Contract No.	Project Description	Const. Year
T.H.	District	Contractor	Project Location
Project Engineer/Supervisor		Federal No.	Page of

Materials and products used on project: (check all boxes that apply)

- Grading and Base
 Bituminous
 Concrete
 Aggregate
 Materials/Chemicals

Specialty	Exception Description	Resolution	Document Reference	Name/Initials

Form TP-02171-04

District/Metro Materials Engineer _____ Date _____ Project Engineer _____ Date _____

ATTACH SUMMARY REPORTS OF SUPPLEMENTAL/AGREEMENTS, CHANGE ORDERS and BACKSHEET ITEM EXCEPTIONS.

- No Independent Assurance Required
- Independent Assurance Not Completed
- Independent Assurance Completed Without Exceptions
- Independent Assurance Completed With Exceptions

Information regarding Independent Assurance is available in the District I.A. or Project Engineer Files

Exceptions include all of the following situations:

Failing Tests Any failure of a field test, quality control test, or verification companion test. Corrections or deducts resulting from failing tests must be listed as resolutions of exceptions.

Missing Tests Any missed field test, quality control test, or verification test. Tests include required observations of quality control tests.

Test Tolerance Any tolerance failure between an acceptance test and the corresponding companion proficiency or Independent Assurance sample test. Companion sample tests are performed between:

- ✓ Field and laboratory samples
- ✓ Quality control and quality assurance samples
- ✓ Verification and verification companion samples
- ✓ Field and IA samples
- ✓ Quality control and IA samples
- ✓ Plant observer's quality assurance or verification samples and IA samples

Non-Certified Testers Any acceptance samples taken or tests performed by non-certified or under-certified testers. This includes contractor quality control tests when used for acceptance and agency verification tests. Tests not performed in a qualified laboratory are also exceptions.

Other Exceptions

- ✓ Material accepted from a non-approved source, missing certificates of compliance, incomplete small quantity documentation, etc.
- ✓ Paving without a Mixture Design Report and/or Recommendation
- ✓ Individual test out of tolerance, but moving average is within limits, is an exception for the individual test out of tolerance
- ✓ Bituminous test results in warning band (year 2000 and older specifications) is an exception, reduce payment in accordance with specifications